Canada - Spain 2\textsuperscript{st} Call for Proposals for Joint R&D Projects

Two Phases (mandatory):
Phase 1: Expressions of Interest (EOIs) will be accepted immediately and up to and including 16 October 2015.
Phase 2: EUREKA Project Applications will be accepted following an approved EOI up to and including 30 November 2015

According to the cooperation agreement between the National Research Council of Canada (NRC) and the Centre for the Development of Industrial Technology of Spain (CDTI), the R&D joint activities between both entities will be performed under the rules and procedures of the multilateral initiative EUREKA, where both countries are members.

Within this framework, participants from both countries are invited to submit joint project proposals for collaborative industry-led R&D projects aimed at creating new products, processes and services for civilian applications.

1. Scope of Topics for Bi-Lateral R&D projects

This call welcomes applications in all technological areas. However, special priority will be given to proposals of the following domains:

- **Ocean Research**: Environmental, new energy sources, use of natural resources and water management technologies.
- **Life Sciences**: In general, with a focus in agriculture and food science.
- **ICT to Health**: Applications of computing to education and scientific research, communication, information technologies, data communication, software.
- **Mining technology**: Innovation to increase efficiency or to reduce the environmental impact in the mining sector.
- **Nanomaterials**, with special emphasis on graphene technologies.
The projects approved by the Spanish and Canadian Authorities will be supported by NRC in Canada and by CDTI in Spain. This support will be given by each Authority to each partner, subject to budgetary availability, in accordance with their respective national Laws, Rules, Regulations and Procedures in effect, on a non-exchange of funds basis, and may be leveraged by other investment.

2. Eligibility Criteria

The criteria followed in the present Call are the following:

- The Canadian partner should be a SME entity (under the Canadian definition) which may be on technologically and scientifically assisted by a non-industrial entity (eg: university research institute etc).
- The Spanish (i.e. legally established in Spain) partner should be an Industrial entity (company) which may be technologically and scientifically assisted by a non-industrial entity.
- The Spanish and Canadian partners must express their will to cooperate, on an equal basis, to the development of a new product, industrial process or service.
- The product, process or service must be innovative and there must be a technological risk involved.
- The project must be equally significant for both participants.
- The participants are required, prior to obtaining the EUREKA label, to have previously signed a Consortium Agreement regulating the exploitation of the results of the project upon completion of the phase of research and development, as well as the ownership and use of knowhow and IPR settlements (“Consortium Agreement”).

Any partner whose cooperative R&D project is consistent with the aforesaid criteria can apply to the present announcement in accordance with the national Laws, Rules, Regulations and Procedures in effect on a non-exchange of funds basis, and subject to budgetary availability in each country.

3. Submission of the project proposals

The Call is launched on 15th June 2015 by NRC and CDTI.

By means of this announcement, partners are invited to submit proposals for Industrial R&D projects, under the EUREKA framework, involving at least one Spanish company and one Canadian SME. Participation of research institutions / universities / colleges is welcome according to each National Funding Agency’s regulations or as self-funded participants.
This procedure consists of two phases. Completion of both phases is mandatory:

**Phase 1: Joint Submission of an Expression of Interest (EOI).** In the first phase, the completed EOI Application Form\(^1\) in English must be sent by email to both contact person at NRC in Canada and CDTI in Spain (see contact information at the end of the document) no later than 16\(^\text{th}\) October 2015, 17:00 h. local time. Recommendations will be conveyed to applicants as soon as available.

Prior consultation with CDTI in Spain and NRC in Canada is strongly advised. CDTI and NRC will screen the EOI and decide which will be invited to submit a EUREKA Project Application Form.

Note: A positive recommendation in the EOI phase does not imply approval of the EUREKA Project.

**Phase 2: Submission of EUREKA Application Form** respectively to CDTI in Spain and NRC in Canada. Submission of applications shall follow these steps:

- Partners will be asked to present a short project outline via the following EUREKA Project Application Form
- The completed EUREKA Project Application Form must be submitted simultaneously to NRC and CDTI by 30\(^\text{th}\) November 2015, 17:00 h. local time. The forms have to be signed by a legal representative of all the participating companies. The signatures must be scanned and sent together with the form as an integral part of the application. The forms must be filed in English, signed by both project partners and sent to the program managers.
  - Canadian partners will be invited to submit the EUREKA proposal through the NRC submission system. In addition to the application form, a short project outline and a draft consortium agreement\(^2\) must be attached.
  - Spanish partners will be invited to submit the EUREKA request through the CDTI website. In addition to the EUREKA Application Form, a Preliminary Report (in Spanish, template available in the CDTI website) and a draft Consortium Agreement must be included. Spanish tasks in the project can only start after submitting the application to CDTI. Accordingly, project costs incurred prior to 1 April 2016 will not be funded by CDTI. For further information, please contact directly with CDTI.

4. Evaluation and selection of projects.

The national authorities will carry out an evaluation process of the received projects and will select the proper projects to be supported in accordance with their national laws and regulations.

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\(^1\) To obtain an EOI template, and prior to submission, please connect with the appropriate contact points listed at the end of this document (see Annex).

\(^2\) Draft model agreement will be supplied upon request.
In case the Spanish partner requests funding from CDTI (other alternative sources of funding are allowed) both CDTI and the Spanish partner will sign a contract. This contract will describe the terms and control procedures of the financial support provided. The Canadian NRC-IRAP Office will sign a similar document with the Canadian partner. In addition to the above-mentioned documents, a Partnership Agreement signed by the counterpart, will be added as an annex.

5. Modalities of financial support

Each country will fund its eligible participants under the EUREKA framework. Projects in the frame of this announcement could be financed by the respective national authorities in Canada and Spain, in accordance with their respective national laws, rules, regulations & procedures in effect, on a non-exchange of funds basis and subject to budgetary availability in each country:

- In Canada, financial support for participating Canadian SMEs may be provided by NRC-IRAP for eligible research and development activities, by supporting a portion of salary and contractor costs associated with the project. Total support for the firm in the form of a non-repayable contribution will not exceed 75% of its total costs in the project.
- In Spain, CDTI will provide funding to selected Spanish partners with a partially reimbursable loan covering up to 75% of the eligible costs of R&D (for more information, please access www.cdti.es).

According to EUREKA practice, applicants may choose to ask for the EUREKA label certification without public financing (e.g. self-funding is also applicable).

6. Publicity of the Results

Financially supported project partners will be informed by their respective agencies about the obligations of publicity and dissemination of the results.
ANNEX.

For further information:

Spain
CDTI (Madrid, Spain)
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Canada
NRC (Canada)
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